

**Texas Commission for Environmental Quality  
Form ECT-5  
Application for Transfer of Individual Future Year Allowance Ownership**

<b>I. Seller's Company Identifying Information</b>
Company Name:
Customer Reference Number (CN): CN
Site Name:
Regulated Entity Reference Number (RN): RN
<b>II. Seller's Technical Contact Identifying Information</b>
Technical Contact Name:
Technical Contact Title:
Telephone Number:
Email Address:
<b>III. Seller's Authorized Account Representative (AAR) Information</b>
AAR Name:
AAR Title:
Telephone Number:
Email Address:
Mailing Address:
City:
State:
ZIP Code:
<b>IV. Buyer's Company Identifying Information</b>
Company Name:
Customer Reference Number (CN): CN
Site Name:
Regulated Entity Reference Number (RN): RN
<b>V. Buyer's Technical Contract Identifying Information</b>
Technical Contact Name:
Technical Contact Title:
Telephone Number:
Email Address:

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<b>VI. Buyer's Authorized Account Representative (AAR) Information</b>
AAR Name:
AAR Title:
Telephone Number:
Email Address:
Mailing Address:
City:
State:
ZIP Code:
<b>VII. Individual Future Year Allowances To Be Transferred</b>
List the facility identification number (FIN) associated with the individual future year transfer, the percentage of each FIN individual future year allocation to be transferred and based on current rules the projected annual allocation for each FIN, in tons, which will be transferred. Please be reminded that the Buyer of individual future year allowances assumes all risks or benefits associated with future adjustments to the individual future allowances by the TCEQ.
Seller RN:
Buyer RN:



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<b>VIII. Purchase Price</b>
Price of the Allowances Acquired in US dollars per ton *:
* Only one US dollar per ton value is acceptable. If multiple allowance streams are purchased at different US dollar per ton prices, submit separate applications for each stream.
Note: The allowances can be viewed at the TCEQ website at <a href="http://www.tceq.texas.gov">www.tceq.texas.gov</a> immediately after the transaction is finalized.
<b>IX. Authorized Account Representative Signature</b>
I,
, hereby certify that
is the owner of the above listed allowances, these allowances may be permanently transferred to the listed buyer, and information entered in this application is correct to the best of my knowledge and belief.
Signature:
Date:
Title:

***Mail application to:***

**Texas Commission on Environmental Quality Emission Banking and Trading Program MC 163  
PO BOX 13087  
AUSTIN, TX 78711-3087**